Substitute for form 1449A/PTO





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PTO/SB/08A (08-00) 02. OMB 0651-0031

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete If Known					
Application Number	Unassigned				
Filing Date	HEREWITH				
First Named Inventor	Brown, Jr., L. Carlton				
Group Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	006969-022311				

				U.S. PATENT DOCUM	MENTS	
• •		U.S. Patent Do	cument	_		Pagas, Columns, Unes,
Examiner Initials *	Cite No.1	Number	Code <sup>2</sup> own)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
DRC	1	5,848,401	Α	Goldberg et al.	12/08/1998	
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re	3	6,005,945	Α	Whitehouse	12/21/1999	
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Examiner Initials*	Cite	F	oreign Patent Do	cument	Name	Name of Patentee	Date of Dublication of	Pages, Columns, Lines,	
	No.1	Office	Number <sup>4</sup>	Kind Co	de <sup>5</sup> or Ap	plicant of Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
PC	4	PCT	WO00/739	54 A	2   Tech	lnology, Inc	12/07/2000		1
	<u> </u>		TUED DDIO	D ADT	NONERAT		RATURE DOCUM		

Examiner Signature	Cosanana, E	Date Considered	2-7-05

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for form 1449B/PTO							Complete if Known	
	1515/	٠.				OL OOUDE	Application Number	Unassigned	
	INFORMATION DISCLOSURE						Filing Date		
	STATEMENT BY APPLICANT					PPLICANT	First Named Inventor	Brown, Jr., L. Cartton	
							Group Art Unit	Unassigned	
	(use as many sheets as necessary)						Examiner Name	Unassigned	
く	Sheet		2		of	2	Attorney Docket Number	006969-022311	

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
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Examiner	C	Date	0705
Signature	Cosimano, E.	Considered	2-1-05

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Substitut	te for form 1449A/PT	О			Complete if Known	
				Application Number	09/902,479	
INFC	PRMATION	DISCL	.OSŲRE	Filing Date	July 9, 2001	
STA	TEMENT E	Y APP	LICANT	First Named Inventor Leon		
				Art Unit	2161	
	(use as many sh	eets as nec	essary)	Examiner Name	Unassigned	
Sheet	1	of	3	Attorney Docket Number	006969-022311US	

			U.S. PATENT D	DCUMENTS	
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
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Examiner Signature	Cosimano	Date Considered	2-7-05

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO Complete if Known **Application Number** 09/902,479 INFORMATION DISCLOSURE Filing Date July 9, 2001 STATEMENT BY APPLICANT First Named Inventor Leon Art Unit 2161 (use as many sheets as necessary) **Examiner Name** Unassigned 006969-022311US Sheet Attorney Docket Number

	FOREIGN PATENT DOCUMENTS											
	2	For	eign Patent Docu	ment		Name of Patentee or	Pages, Columns, Lines,					
Examiner Initials*	Cite No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>®</sup> (# known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	Т				
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	BG	wo	98/20461	A2	05-14-1998							
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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
ERC	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.		
	вк	"Information Based Indicia Program (IBIP) Indicium Specification," United States Postal Service, dated June 13, 1996.		
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996		
	ВМ	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996		
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998		
	во	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216		
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ERC	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.		
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**GROUP 3600** 

Examiner		Date	0
Signature	Cosimano, E	Considered	2-705

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